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PTO/SB/08B (08-03)

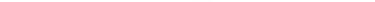
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Sheet	1	of	1
		Attorney Docket Number	
		ALG06NP	

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Jmpn		Sai T. Chu, Wugen Pan, Shinya Sato, Taro Kaneko, Brent E. Little, and Yasuo Kokubun, "Wavelength trimming of a microring resonator filter by means of a UV sensitive polymer overlay", IEEE Photonics Tech. Lett. Vol. 11 p. 688 (1999)	

Examiner Signature		Date Considered	2/9/04
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 4

<i>Complete If Known</i>	
Application Number	09/788,303
Filing Date	02/16/2001
First Named Inventor	Sercel
Art Unit	1731
Examiner Name	John Hoffman
Attorney Docket Number	ALG06NP

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
M P M	US- 09/440,311		filed 11-12-1999	Vahala et al	
	US- 09/454,719		filed 12-07-1999	Vahala et al	
	US- 09/733,357		filed 12-07-2000	Yariv	
	US- 09/802,442		filed 03-09-2001	Painter et al	
	US- 60/183,499		filed 02-17-2000	Sercel et al	
	US- 60/226,147		filed 08-18-2000	Sercel et al	
	US- 60/257,248		filed 12-21-2000	Painter et al	10
	US- 09/788,331		filed 02-16-2001	Sercel et al	11
	US- 09/788,300		filed 02-16-2001	Vahala et al	12
	US- 09/788,301		filed 02-16-2001	Sercel et al	13
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	US- 5.729.641		iss 03-17-1998	Chandonnet et al	22
m P M	US- 4.798.438		iss 01-17-1989	Moore et al	23

FOREIGN PATENT DOCUMENTS

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Michael P. Mooney

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/788,303
				Filing Date	02/16/2001
				First Named Inventor	Sercel
				Art Unit	1731
				Examiner Name	John Hoffman
				Attorney Docket Number	ALG06NP
Sheet	2	of	4		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 4

Complete if Known

Application Number	09/788,303
Filing Date	02/16/2001
First Named Inventor	Sercel
Group Art Unit	1731
Examiner Name	John Hoffman
Attorney Docket Number	ALG06NP

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.
mjh		J. C. Knight, G. Cheung, F. Jacques, and T. A. Birks, "Phased-matched excitation of whispering gallery-mode resonances by a fiber taper", Optics Letters Vol. 22 1129 (1997)
		Andreas Othonos, "Fiber Bragg gratings", Rev. Sci. Instrum. Vol. 68(12) 4309 (1997)
		G. Meltz et al., "Bragg grating formation and germanosilicate fiber photosensitivity", SPPIE Vol 1516 Int. Workshop on Photoinduced Self-organization in Optical Fiber (1991)
		T. Erdogan, V. Mizrahi, P. J. Lemaire, and D. Monroe, "Decay of UV-induced fiber Bragg gratings", J. Appl. Phys. Vol. 76 73 (1994)
		H. M. Presby, A. F. Benner, and C. A. Edwards, "Laser micromachining of efficient fiber microlenses", Applied Optics Vol 29(18) 2692 (1990)
		G. Eisenstein and D. Vitello, "Chemically etched conical microlenses for coupling single-mode lasers into single-mode fibers", Applied Optics Vol 21(19) 3470 (1981)
		D. S. Starodubov, V. Grubsky, Jack Feinberg, B. Kobrin, and S. Juma, "Bragg grating fabrication in germanosilicate fibers by use of near-UV light: a new pathway for refractive index changes", Optics Letters, Vol 22(14) 1086 (1997)
		K. O. Hill, B. Malo, F. Bilodeau, D. C. Johnson, and J. Albert, "Bragg gratings fabricated in monomode photosensitive optical fiber by UV exposure through a phase mask", Appl. Phys. Lett., Vol 62(10) 1035 (1993)
		K. O. Hill, F. Bilodeau, B. Malo, T. Kitagawa, S. Theriault, D. C. Johnson, J. Albert, and K. Takiguchi, "Chirped in-fiber Bragg gratings for compensation of optical-fiber dispersion", Optics Letters, Vol 19(17) 1314 (1994)
		S. V. Frolov, Z. V. Vardeny, and K. Yoshino, "Plastic microring lasers on fibers and wires", Applied Physics Letters Vol 72(15) 1802 (1998)
mjh		S. V. Frolov, A. Fujii, D. Chinn, Z. V. Vardeny, K. Yoshino, and R. V. Gregory, "Cylindrical microlasers and light emitting devices from conducting polymers", Applied Physics Letters, Vol 72(22) 2811 (1998)

Examiner Signature

Mark W. Mooney

Date Considered

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Application Number	09/788,303
Filing Date	02/16/2001
First Named Inventor	Sercel
Group Art Unit	1731
Examiner Name	John Hoffman
Attorney Docket Number	ALG06NP

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Mehl P. Mooney

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